U.S. DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD CG-5310A (Rev. 08-05)

OFFICER EVALUATION REPORT (OER)

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CG-5310A (Rev. 08-05)											
1. ADMINISTRATIVE DAT	Α							(YYYY/MM/DD)	(YYYY/MN	M/DD)	
a. NAME (Last)			(Initia	als)	b. SSN	c. GRAI	DE	d. DATE OF RANK	e. DATE REPOR	TED /	
f. UNIT			·					g. ATU - OPFAC	h. DAYS NOT OF		ED .
: DEDIOD OF DEDONT AAAA/MM/D	D)	1:	OCCACION FOR REPORT (Mark or	alu on				k. EXCEPTION REPORT	LV OT	THER	TED.
i. PERIOD OF REPORT (YYYY/MM/D	υ)	, J.	OCCASION FOR REPORT (Mark or Annual/ Detachm		hange of Detachment of	omotion					,
/ / TO		1	Semiannual Reporting	_	ter Officer O		.,	opecial _ or corre	current	1	1
	ES:	List prim	ary duty and summarize all o	duties	s and responsibilities (provide Rese	erve tim	e it	applicable).			
PRIMARY DUTY:											
ATTACHMENTS:											
3. PERFORMANCE OF DU	TIES	3: Measu	ires an officer's ability to m	anaç							
a. PLANNING AND PREPAREDNESS	1	Got caught	by the unexpected; appeared to be by events. Set vague or unrealistic sed unreasonable criteria to set and deadlines. Rarely had plan of	3	Consistently prepared. Set high but real goals. Used sound criteria to set priorities deadlines. Used quality tools and processe	listic 5	E	xceptional preparation. Always	s looked beyond ems. Skillfully	7	N/O
Ability to anticipate, determine goals.		goals. Us	sed unreasonable criteria to set		deadlines. Used quality tools and processe	es to key	b	nmediate events or proble alanced competing demand trategies with contingency plans spects of problems, including u	ls. Developed		
Ability to anticipate, determine goals, identify relevant information, set priorities and deadlines, and create a		action. Fai	led to focus on relevant information.		develop action plans. Identified information. Kept supervisors and stabled holders informed.	ake-	a	spects of problems, including u nd impact.	inderlying issues		
shared vision of the unit's and Coast Guard's future.	0		0	0	noiders informed.) lª	Пи іпірасі.		lol	0
b. USING RESOURCES:		Concentrat	ed on unproductive activities or		Effectively managed a variety of activities	with	ι	Unusually skilled at bringing s	carce resources	Ť	
		often overl use people	ooked critical demands. Failed to productively. Did not follow up. d information, money or time. ective tools or left subordinates neans to accomplish tasks.		Effectively managed a variety of activities available resources. Delegated, empower and followed up. Skilled time mana budgeted own and subordinates productively. Ensured subordinates	ered, iger,	l t	o bear on the most critica demands. Optimized produ	I of competing activity through		
Ability to manage time, materials, information, money, and people (i.e. all CG components as well as		Mismanage Used ineff	ed information, money or time. Tective tools or left subordinates		budgeted own and subordinates to productively. Ensured subordinates	tĭme had	1 6	effective delègation, èmpo follow-up control. Found	werment, and		
external publics).		without r Employed v	means to accomplish tasks. wasteful methods.		adequate fools, materials, time and direct Cost conscious, sought ways to cut waste.	tion.	1 5	systematically reduce cost, eand improve efficiency.	eliminaté waste,		
	0	Limpioyeu	O	Ю	O		~	O		lol	0
c. RESULTS/EFFECTIVENESS:		Routine ta	sks accomplished with difficulty.	Ĭ	Got the job done in all routine situations and	d in	N	Maintained optimal balance	among quality,	Ĭ	
Quality quantity timolinose and		Results of had a nega	ten late or of poor quality. Work tive impact on department or unit. the status quo despite		many unusual ones. Work was timely and high quality; required same of subordinat Results had a positive impact on departmen	of es.	2	Maintained optimal balance quantity, and timeliness of work and subordinates' work surpass Results had a significant position or Coast Guard. Established	Quality of own ged expectations.		
Quality, quantity, timeliness and impact of work.		Maintained opportunitie	the status quo despite es to improve.		Rěsults had a positive impact on department unit. Continuously improved services a	t or and	F	Results had a significant pösitiv or Coast Guard. Established	re impact on unit clearly effective		
			<u> </u>		unit. Continuously improved services a organizational effectiveness.		. ³	systems of continuous improver	ment.		
	\circ		0	0	0	(-	O		0	\circ
d. ADAPTABILITY:		Unable to recognize	gauge effectiveness of work, political realities, or make s when needed. Overlooked or		Receptive to change, new information, a technology. Effectively used benchmarks improve performance and service. Monito progress and changed course as requireffectively dealt with pressure and ambiguing the collistrate amount.	and to	F	Rapidly assessed and adjuste conditions, political realities, n	ed to changing new information		
Ability to modify work methods and priorities in response to new		adjuštment screened o	s when needed. Overlooked or ut new information. Overreacted or		l improve performance and service. Monito I progress and changed course as requir	red red.	ľ	and technofogy. Very skilled responding to measureme	at using and nt indicators.		
information, changing conditions,		responded environmer	ut new information. Overreacted or slowly to change in direction or at. Ineffective in ambiguous,		Effectively dealt with pressure and ambigu Facilitated smooth transitions. Adjus	ity. ted		rapiny assessed and adjuste conditions, political realities, r rand technology. Very skilled esponding to measureme Championed organizational Effectively dealt with extre situations. Turned pressure an expertirely forces for change.	improvements. melv complex		
political realities, or unexpected obstacles.		complex, o	r pressured situations.		direction to accommodate societal trends political realities.	or	5	situations. Turned pressure and constructive forces for change.	d ambiguity into		
	0		0	0	O) l`	O O		lol	0
e. PROFESSIONAL COMPETENCE:			le competence and credibility.		Competent and credible authority on special	ا الأمنا		Superior expertise; advice and			
Ability to acquire, apply and share		or lacking	or specialty expertise inadequate in key areas. Made little effort to		or oberational issues. Acquired and app excellent operational or specialty expertise assigned duties. Showed professional gro through education, training and professic reading. Shared knowledge and informal with others clearly and simply. Underst own organizational role and customer needs.	for	Ĭ	great breadth and depth Remarkable grasp of complex is	ssues, concepts,		
technical and administrative knowledge and skills associated with		power aga	in key areas. Made little effort to essionally. Used knowledge as inst others or bluffed rather than		through education, training and profession	onal	Í	growth beyond expectation	ns. Vigorously		
description of duties. (Includes operational aspects such as marine		reduced di	ging ignorance. Effectiveness ue to limited knowledge of own		reading. Shared knowledge and informat with others clearly and simply. Understo	ood	į	Remarkable grasp of complex is and situations. Rapidly develor growth beyond expectation conveyed knowledge, direct ncreased workplace product	iy resulting in ivity. Insightful		
safety, seamanship, airmanship, SAR, etc., as appropriate.)		organization	nal role and customer needs.			٠ _	1.6	knowledge of own role, custo value of work.	mer needs, and		
SAK, etc., as appropriate.)	\cup		0	\cup	O		<u> </u>	<u> </u>			\Box
COMMENTS:											

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7. REPORTING OFFICER	COMM	IENTS: S	upplem	ent or amp	lify Sup	erv	isor's evaluation.	OConcur	Oı	Do not concur	ORO is	Supervisor		
a BEDGGWAL AND BDGG							150 101 10							
8. PERSONAL AND PROF a. INITIATIVE:						ted 3	qualities which illus Championed improvemen	trate the individu through new ideas	<u>ial's (</u> 5. 5	character. Agaressively sought	out additional	responsibility.	7	N/O
Ability to originate and act on new	s d	upported impr o so. Sho	ovements wed little	on. Impleme only when dir interest in	ected to career		Championed improvemen methods, and practices; problems and took prom resolve them. Sought opp eer development. Pursued enhanced mission perforn ideas and methods.	self-starter. Anticipate pt action to avoid o	d or	Aggressively sought A self-learner. Ma practices work when Extremely innovative and methods to decision-making, and	ade worthwhile others might h	ideas and ave given up.		
ideas, pursue opportunities to learn and develop, and seek responsibility without guidance and supervision.	l la	evelopment. nethods, se nexplored.	rvices,	e improveme or products	ents in went		eer development. Pursued	productivity gains an	d	and methods to	improve work	processes,		
without guidance and supervision.		nexplored.	C)	(\supset				decision-making, and	O CONTRACTOR OF THE CONTRACTOR		0	0
b. JUDGMENT:	D	ecisions ofter make nece	n displaye essary de	d poor analysis cisions, or ju	s. Failed mped to		Demonstrated analytical sense in making decisior and experience, and con alternatives and political r cost and time consider decisions prompts with	thought and commons. Used facts, data	ו	Combined keen analying of political proc	esses, and ins	ight to make		
Ability to make sound decisions and provide valid recommendations by using facts, experience, political acumen, common sense, risk	a	onclusions	without	considering Did not e ne consideration	facts.		and experience, and con alternatives and political r	sidered the impact o ealities. Weighed risk	f ,	appropriate decisions and the most releva	. Focused on t nt information.	he key issues Did the right		
acumen, common sense, risk assessment, and analytical thought.	l w	eign risk, cos oncerned with	st, and tir political d	ne consideration ivers on organ	ons. Un- ization.		decisions promptly with information.	ations. Made sound the best available	9	and the most releva thing at the right time of impact of decision take reasonable risks	. Actions indicat ons on others. to achieve posi	Not afraid to		
assessment, and analytical thought.	0		С)	(\supset	С		10		0		0	0
c. RESPONSIBILITY:	A O	ctions demon f commitment	strated qu Tolerat	estionable ethi ed indifference	cs or lack or failed		Held self and subordir professionally accountabl necessary, even when positions. Supported orga decisions which may have ideas. Committed to the s	ates personally and e. Spoke up whei	d 1	Integrity and ethics the self and subordinates sonal and profession thing even when it making even unpopute Actions demonstrate achievement of organical self-self-self-self-self-self-self-self-	eyond reproach s to highest sta	. Always held ndards of per-		
Ability to act ethically, courageously, and dependably and inspire the same in others; accountability for own and subordinates' actions.	0	o hold sub rganization to por than confr	ordinates absorb	estionable ethiced indifference accountable. personnel prol m as required involved. Prov counter to ow	Allowed blems ra-		necessary, even when positions. Supported orga	expressing unpopula nizational policies and	<u>[</u>	thing even when it	al accountability was difficult. lar policios or d	Succeeded in		
others; accountability for own and subordinates actions.	l lin	ot to speak u nal support for	p or get decisions	nvolved. Prov counter to ow	rided min- n ideas.		ideas. Committed to the sof organizational goals.	uccessful achievemen	ť	Actions demonstrate achievement of organ	d unwavering o nizational goals.	ommitment to		
	IOI)	[(\supseteq			0		\circ		0	0
d. PROFESSIONAL PRESENCE:	l lu	Inaware of gettive or biased	eneral CG in interac	objectives; u tions. Lost co onveyed poor i of or sloppy w Uniform app dard. Failed to respect, and	ncooper- mposure		Knowledgeable in how CC public; cooperative and	objectives serve the	e	Always self-assured Poised in response t Contributed leadersh munity. Exemplified for the core values a customs and protoco ance and grooming;	projected ide o others' provoc	al CG image. cative actions.		
Ability to bring credit to the Coast Guard through one's actions, competence, demeanor, and appearance.	l Ir	n difficult situa elf and CG.	itions. Co Ignorant o	onveyed poor in of or sloppy w	mage of ith com-		public: cooperative and composed in difficult situative image of self and CG etiquette; precise in rende tary courtesies. Great cance and grooming. Abide honor, respect, and devotion	tions. Conveyed posi Well versed in militan	<i>,</i>	munity. Exemplified	p role in civiliar and held other	/military com- s accountable		
tence, demeanor, and appearance. Extent to which an officer displayed the Coast Guard's core values of honor,	l la	nd grooming b	elow stan	dard. Failed to respect. and	display devotion		tary courtesies. Great ca ance and grooming. Abided	re in uniform appear I by the core values o	- f	customs and protoco	I. Meticulous u inspired simila	niform appear- r standards in		
respect, and devotion to duty.		duty.)		\neg	honor,respect, and devotion	to duty.		others.				
e. HEALTH AND WELL-BEING:		oid not_adhere	to the Co	așt Guard Fitn	ess Pro-)	Maintained weight standar	ds and adhered to the		Remarkable vitality,	enthusiasm,	alertness and		
Ability to invest in the Coast Guard's future by caring for the physical health, safety, and emotional well-being of self		ram. Falled t /eight control oped others' :	or sobrie	ast Guald Filli minimum stand ty. Tolerated use. Seldom o and well-being ze and manag Failed to ac ersonnel from	or con-		Maintailled weglit standar Coast Guard Fitness Pri health and well-being of Enhanced personal perform supporting physical and Recognized and manage Ensured that safe opera	ogram. Committed to self and subordinates sance through activities	2	Remarkable vitality, energy. Consistentl and actively follow program. Optimiz through involvement physical and emotion helped others deal and well-being. I commitment toward	y contributed a ed a comprehe ed personal	ensive fitness		
safety, and emotional well-being of self and others.	e lir	d subordinate	s' health to recogni	and well-being ze and manag	. Unwill- le stress		supporting physical and Recognized and manage	emotional well-being d stress effectively		through involvement	in activities whall well-being.	nich supported Monitored and		
	d jc	espite appare dentify and	ent need. protect p	Failed to ad ersonnel from	lequately safety		Ensured that safe opera followed.	ting procedures were	9	helped others deal and well-being. [with stress, en Demonstrated	nhance health a signficant		
	$ o ^{\circ}$	azards.	C)	,	ol	С	l	10	commitment toward	s sarety or pers	onnei.	lo	
COMMENTS:					•									
9. COMPARISON SCALE (FOR G	RADES	V2 TO	02): Comp	pare this	off	icer with others of the	ne same grade v	/hom	you have known	in your car	eer.		
	A QUAL OFFI	IFIED			ON	E C	F THE MANY COMPET SSIONALS WHO FORM	ENT		AN EXCEPT	IONAL	A DISTING		ED
	01110	JEIN .	1				IORITY OF THIS GRAD			1 0	-i.\ 	0111	JLI	
10. POTENTIAL: Describe	ability	to assume	areate	r leadershi	p roles a	and	responsibilities (e.d	. command. spe	cial a	assianment, pron	notion, and	special skil	ls).	
	Í		Ü					, , , , , , , , , , , , , , , , , , ,		3 /1	•	•	,	
11. REPORTING OFFICER	AUTH	ENTICAT										(YYY	Y/MM/I	DD)
a. NAME AND SIGNATURE				b. GRADE	c. EMPLI	D	d. TI	TLE OF POSITION				e. DATE		
12. REVIEWER AUTHENTIC	CATIO	N	2	COMMENTS	ATTACHE	D /	Doguired when the Den-ti-	Officer is not a C	Cuard	Officer or Coast Coast	CEC)		1	1
b. NAME AND SIGNATURE	CATIO	14	a.	c. GRADE	d. EMPLI		Required when the Reporting e. TIT	LE OF POSITION	Guard	Officer of Coast Guard	JEJ.J	f. DATE		
							["					II. DAIL	1	,

INSTRUCTIONS

PURPOSE: The Officer Evaluation Report (OER) primarily provides information for officer corps promotion, selection, and assignment determinations. Secondary purposes include: (1) prescribing common standards of expected performance; (2) reinforcing Coast Guard values; and (3) acting as one means of performance feedback for the Reported-on Officer.

GUIDING INSTRUCTION: Chapter 10-A of the CG Personnel Manual, COMDTINST M1000.6 (series), contains all official guidance on OES requirements.

RESPONSIBILITIES: All Coast Guard officers and raters of CG officers should be aware of their OES responsibilities as outlined in the CG Personnel Manual.

SUBMISSION SCHEDULE:

Grade	Active Duty	IDPL
Captain	Apr	Apr
Commander	Mar	Mar
Lieutenant Commander	Apr	Apr
Lieutenant	May	May
Lieutenant (Junior Grade)	Jan and Jul	Jul
Ensign	Mar and Sep	Mar
Chief Warrant Officer (W4)	Apr	Apr
Chief Warrant Officer (W3)	Jul	Jul
Chief Warrant Officer (W2)	Jun	Jun

Notes:

- (1) An OER period may be extended for up to 92 days (semiannual) under certain conditions. Consult PERSMAN for further guidance.
- (2) OERs for IDPL grades of CAPT, LTJG, and ENS are annual. All other IDPL OERs are biennial.
- (3) Officers assigned to DUINS follow an annual/semiannual schedule according to school terms.

TIMELINE:

21 days before end of period:

Reported-on Officer submits to Supervisor a list of significant accomplishments during the period, supporting documents (as required), administrative data required for OER Section 1, and a completed OER page 4.

10 days after the period:

Supervisor sections of OER due to Reporting Officer.

30 days after the period:

Supervisor and Reporting Officer sections due to Reviewer. Reviewer sends completed OER to OER Administrator.

45 days after the period:

OER due to CGPC for review and entry into official record.

RESTRICTIONS:

Raters shall not mention a Reported-on Officer's: (1) First name; (2) Non-selection for promotion, including allusions thereto; (3) Record appeals; (4) Psychological or medical conditions; (5) marital or family status (including pregnancy); or (6) Performance observed outside the reporting period. Raters also shall not: (1) Expressly evaluate or place emphasis on gender, religion, color, race, or ethnic background (applies to both member and third parties); (2) Refer to any third party by name; or (3) Include information which is subject to a security classification. See PERSMAN for additional restrictions that apply to disciplinary proceedings.

PREPARATION CHECKLIST (OPTIONAL):

Administrative Data and Description of Duties (Sections 1 and 2):

- All fields completed (enter dates in YYYY/MM/DD format and enter only one occasion for report).
- Primary duty will be capitalized (no other text enhancements, such as underlining, bolding, or all capital letters, are allowed throughout the OER).
- Attachments listed (only personal award citations, punitive letters, or letter reports for senior service school allowed).

Performance Evaluation (Sections 3-5 and 7-8)

- Marks assigned according to standards which most closely describe Reported-on Officer's performance during the period.
- ___ Specific examples cited for each mark which deviated from "4".
 When applicable, comments on seamanship or airmanship ability are distinct.

Comparison or Rating Scale and Potential (Sections 9 and 10):

- Section 9 mark assigned according to the instructive clause on the form.
- Comments describe Reported-on Officer's overall potential for greater responsibility (include, as appropriate, recommendations for promotion, special assignment, and command).

TIPS FOR EFFECTIVE COMMENTS:

1. Be specific.

Concisely describe the performance by relating the action observed and its impact; quantify the action whenever possible and explain why it was important; avoid empty superlatives. Do not repeat the dimensions.

Save space.

Use information bullets; reduce the use of pronouns; use member's name sparingly, if at all; use action verbs and semicolons; and avoid excess words. Acronyms and abbreviations are effective only if they are common to all Coast Guard communities or are initially defined in the comments.

3. Be clear.

Don't lose the meaning; watch for cryptic comments.

13. RETURN ADDRESS. (Name and address to which a copy is sent after filing the original	n the officer's re cord.)	14. OER ADMINISTRATOR RE	VIEW:
		a. Initials:	b. Date:
I	I	PRIVACY ACT STATEMENT This information is requested un U.S.C. 833 to determine an promotion or job assignme information is mandatory. Failu adversely affect promotion of assignments or lead to discipling	officer's suitability for ent. Submitting this are to provide it could apportunities and job